## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Reporting Pers JR (First) ADOW LAN (Street)  MD 20876 (State)  ry Shares ry Shares	(Middle) IE	2. Issuer Nam Adaptimmu: 3. Date of Earl: 01/06/2020 4. If Amendme  2A. Deemed Execution Dat any (Month/Day/Y	ne Tiest Tent, D	Therapeu Transaction Date Origin  Ole I - Non  3. Transa Code (Instr. 8)	tics I n (Mon nal File	PLC [Anth/Day ed(Month  vative S  4. Secu (A) or	ADAP] //Year) /Day/Year) /Day/Year)  Securities urities Acquisposed (3, 4 and 5)	Acquired of (D)	5. Individu X_Form file Form file 5. Amour Beneficia Reported	(Che or (give title bele Chie:  all or Joint/Ged by One Repord d by More than  osed of, or I  at of Securit lly Owned I  Transaction	Group Filing Orting Person One Reporting  Beneficially  ies  Following	able) 10% Owner Other (specify l Officer  Check Applica Person  Owned  6. Ownership	7. Nature of Indirect
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	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Bertrand William C JR 21729 BRINK MEADOW LANE GERMANTOWN, MD 20876			Chief Operating Officer				

# Signatures

/s/ William Charles Bertrand	01/07/2020
***Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Each American Depositary Share ("ADS") represents six ordinary shares, nominal value GBP 0.001 per ordinary share, of the Issuer. The ADSs were obtained from the exercise of approximately 25% of an RSU-style share option covering ordinary shares of the Issuer granted on January 4, 2019 and which vested on January 4, 2020. Once
- (1) vested, the RSU-style options must be exercised within a restricted period or they are forfeited. The exercise of the portion of these RSU-style share options and the sale reported in this Form 4 were effected pursuant to a Sell to Cover exercise implemented automatically in accordance with the Issuer's option plan, under which sufficient ADSs were sold by the Issuer to satisfy the Reporting Person's tax withholding obligations and associated sale costs. The residual ADSs are held by the Reporting Person.
- The price reported in Column 4 is a weighted average price. These ADSs were sold in multiple transactions at prices ranging from \$1.20 to \$1.2008, inclusive. Upon request, (2) the Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission full information regarding the ADSs sold at each separate price within the range set forth in this footnote.
- (3) The ADSs reported in this Column 5 represent solely those residual ADSs held by the Reporting Person which result from the Sell to Cover exercise transaction reported on this Form 4. Additionally, the Reporting Person holds 3,731 ADSs and RSU-style options and other options covering an aggregate of 5,335,686 ordinary shares of the Issuer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.